

UNITED STATES DEPARTMENT OF COMMERCE  
National Oceanic and Atmospheric Administration  
NATIONAL MARINE FISHERIES SERVICE  
NORTHEAST REGION  
55 Great Republic Drive  
Gloucester, MA 01930-2276

JAN 22 2010

Dear Atlantic herring vessel owner or dealer:

This letter clarifies the notification and reporting requirements for participants in the Federal Atlantic herring (herring) fishery. If a vessel harvests, possesses, or lands herring in or from the Exclusive Economic Zone (EEZ) on any fishing trip, it is considered to be participating in the Federal herring fishery. As you may know, some vessel owners and operators failed to comply with reporting requirements in previous years. These violations undermined the monitoring program established to assure that catch in the fishery does not exceed specified levels. Please share this information with all of your vessel operators.

The notification and reporting requirements are outlined below:

1. If a vessel has been issued a limited access herring permit (i.e., All Areas Limited Access Permit, Areas 2 and 3 Limited Access Permit, Incidental Catch Limited Access Permit) a vessel representative must activate the vessel monitoring system (VMS) unit and declare that the vessel is participating in the herring fishery by entering the code "HER" prior to leaving port. If a vessel representative declares the vessel out of the herring fishery (DOF) prior to leaving port to target a non-VMS required species, such as mackerel, that vessel may not harvest, possess, or land herring on that trip.
2. If a vessel has been issued an All Areas Limited Access Herring Permit or an Areas 2 and 3 Limited Access Herring Permit, and is on a declared herring trip with either midwater trawl or purse seine gear, then a vessel representative must:
  - Obtain the appropriate letter of authorization (LOA) for the gear fished before commencing any fishing trip;
  - Provide notice and contact information (e.g., contact name and phone number; vessel name; date, time, and port of departure) to the Observer provider (508-990-9057) at least 72 hours prior to beginning any trip;
  - Carry an observer on board if intending to fish using midwater trawl in Northeast (NE) multispecies Closed Area 1; and
  - Notify the National Marine Fisheries Service Office of Law Enforcement (via VMS) of the time and place of offloading at least 6 hours prior to crossing the VMS demarcation line on their return trip to port, or, for vessels that have not fished seaward of the VMS demarcation line, at least 6 hours prior to landing.
3. The representative of any vessel issued a limited access herring permit must submit a herring catch report via the Interactive Voice Response (IVR) system each week (by midnight on Tuesday for the preceding week), even if there is no fishing activity. Vessel representatives are asked to consider voluntarily making the IVR report at the end of every trip, to enable the Northeast Regional Office to improve monitoring. If you submit an IVR report at the end of

cc: CS (2/1)



every trip, the weekly herring IVR catch report is still due by midnight on Tuesday, even if there is no fishing activity.

4. The representative of any vessel issued an open access permit for herring that catches 2,000 pounds or more of herring on any trip in a week must submit a herring catch report via the IVR system for that week (by midnight on Tuesday for the preceding week).

5. The representative of any vessel issued a herring permit (i.e., limited access or open access) must maintain on board the vessel, and submit monthly, an accurate fishing vessel trip report (VTR) for each fishing trip (by the 15<sup>th</sup> of each month for the preceding month).

6. If a vessel has been issued an All Areas Limited Access Permit or Areas 2 and 3 Limited Access Permit and a Herring Carrier LOA, the limited access herring permit reporting requirements still apply. Therefore, a vessel representative must:

- Submit IVR reports weekly, even if there is no fishing activity during a week;
- Submit VTRs monthly, even if there is no fishing activity during the previous month;
- Provide notice and contact information (e.g., contact name and phone number; vessel name; date, time, and port of departure) to the Observer provider (508-990-9057) at least 72 hours prior to beginning any trip; and
- Notify the National Marine Fisheries Service Office of Law Enforcement (via VMS) of the time and place of offloading at least 6 hours prior to crossing the VMS demarcation line on their return trip to port, or, for vessels that have not fished seaward of the VMS demarcation line, at least 6 hours prior to landing.

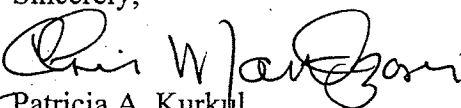
7. Herring catch may only be sold to federally permitted dealers or at-sea processors, unless it is being sold at-sea to a vessel that is purchasing the herring for its own use as bait.

We have received several requests asking for clarification of the reporting requirements for both vessels and dealers, especially those involved in pair trawling and the transfer of herring at sea. These activities have specific reporting requirements, and may also require an LOA to be issued by the Northeast Region Permit Office. Additional guidance on reporting requirements for pair trawling, purse seining, transferring herring at sea, and receiving herring at sea is provided in an attachment.

If you have reporting or regulatory questions, please phone the numbers provided:

- Dealer reporting - 978-281-9212;
- IVR reporting - 978-281-9209;
- VTR reporting - 978-281-9246; and
- Regulations - 978-281-9315.

Sincerely,

  
for Patricia A. Kurkul  
Regional Administrator

Attachment

## BASIC HERRING REPORTING REQUIREMENTS

### Dealers and At-Sea Processors

Dealers and vessels issued at-sea processing permits, must:

- Submit, for each transaction, an electronic dealer report each week. Reports are due by midnight (Eastern Time) each Tuesday for the week that ended the previous Saturday at midnight;
- Report all fish purchased or received for commercial purposes, other than solely for transport on land;
- Report the *correct* vessel name and Federal permit number of each vessel that harvested any fish received;
- Report the correct weight units for purchased fish (i.e. report pounds NOT bushels), as unit errors appear to be additional landings and could lead to early closure;
- Report the serial number from the VTR form used by each vessel that harvested fish and all other information required by the Regional Administrator (RA);
- Submit a report even if there is no activity during a week;
- Vessels issued an At-Sea-Processing permit when receiving or purchasing fish from catcher vessels are to report the port of landing as "Domestic Joint Venture, JD".

Requirements for handling haddock for dealers and vessels issued at-sea processing permits

- Dealers, including at-sea processors, that cull or separate all other fish from the herring catch must separate and retain all haddock offloaded from vessels that have an All Areas Limited Access Herring Permit or an Areas 2 and 3 Limited Access Herring Permit.
- Such haddock may not be sold, purchased, received, traded, bartered, or transferred, and must be retained, after it has been separated from the herring, for at least 12 hours for dealers and processors on land, and for 12 hours after landing on shore by at-sea processors.
- The dealer or at-sea processor must report all such haddock on the weekly electronic dealer report and must use the appropriate disposition code for the haddock. The weekly dealer report must clearly indicate the vessel name and permit number, along with any other information required by the RA, of vessels that landed the retained haddock.
- Law enforcement officials must be given access to inspect the haddock.

### Fishing Vessels

IVR reports must be:

- Submitted weekly if the vessel is issued a limited access herring permit, even if there is no fishing activity during a week;
- Submitted weekly if the vessel is issued an open access herring permit for any week the vessel catches 2,000 pounds or more of herring;
- Completed such that they include all herring caught (discards and landings); and
- Submitted by midnight (Eastern Time) each Tuesday, for the previous week that ended Saturday at midnight.

VTRs must be:

- Submitted for each trip that occurs by any vessel issued a Federal herring permit;
- Submitted by the 15th of each month to report fishing activity for the previous month;
- Submitted even if there is no fishing activity during a month; and
- Completed such that they include all species caught (discards and landings).

## REPORTING INSTRUCTIONS FOR PAIR TRAWL VESSELS

**Dealers:** When a dealer purchases fish from pair trawl vessels, the dealer must report the poundage received from each individual vessel. The fact that the vessels were engaged in pair trawling does not affect the information required in the electronic dealer report.

**Vessels:** Each vessel engaged in pair trawling must submit IVR reports and VTRs. The vessel possessing the permit with the most restrictive possession limit determines the limit on the amount of herring that may be fished for or possessed by any pair. For example, if a vessel issued the All Areas Limited Access Permit pair trawls with a vessel issued a Limited Access Incidental Catch Permit, the pair may not fish for, possess or land more than 55,000 pounds of herring. Vessels should report the actual amount of catch landed by that vessel, regardless of the number of vessels involved in the pair trawling venture. The examples below explain how to complete these reports accurately.

**Example 1:** Vessel A and Vessel B catch 700,000 pounds of herring using midwater pair trawl gear. Vessel A accepted and landed the catch from 2 hauls totaling 200,000 pounds. Vessel B accepted and landed the catch from 3 hauls totaling 500,000 pounds. Vessels should report the catch as follows:

**VTR Vessel A:** gear code: PTM  
hauls: 2  
  
species: HERR  
kept: 200,000 pounds  
dealer: #1234, Seafood Dealer, Inc.  
discard: Pounds, by species, from 2 hauls  
notation: Include a notation on the VTR form indicating  
"Pair trawling with F/V (insert name of the vessel  
and permit number)"

**VTR Vessel B:** gear code: PTM  
hauls: 3  
  
species: HERR  
kept: 500,000 pounds  
dealer: #1234, Seafood Dealer, Inc.  
discard: Pounds, by species, from 3 hauls  
notation: Include a notation on the VTR form indicating  
"Pair trawling with F/V (insert name of the vessel  
and permit number)"

**IVR Vessel A:** kept: 200,000 pounds  
discard: Pounds of herring from 2 hauls

**IVR Vessel B:** kept: 500,000 pounds  
discard: Pounds of herring from 3 hauls

FISHING VESSEL TRIP REPORT

11274718

DID NOT FISH DURING MONTH/YEAR \_\_\_\_/\_\_\_\_/\_\_\_\_

1. VESSEL NAME <b>Vessel A</b>		2. USCG. DOC. or STATE REG. NO. <b>ABCDEF</b>		3. VESSEL PERMIT NUMBER <b>123456</b>	
4. DATE/TIME SAILED DATE (mm/dd/yy) <b>MM DD YY</b> TIME (24 hrs.) <b>HH MM</b>		5. TRIP TYPE (CHECK ONE) <input checked="" type="checkbox"/> COMMERCIAL <input type="checkbox"/> PARTY <input type="checkbox"/> CHARTER		6. NO. of CREW <b>4</b>	7. NO. of ANGLERS

FILL OUT A NEW PAGE FOR EACH CHART AREA OR GEAR OR MESH/RING SIZE FISHED

8. GEAR FISHED <b>PTM</b>		9. MESH/RING SIZE <b>1</b>		10. QUANTITY OF GEAR <b>1</b>		11. SIZE OF GEAR <b>400</b>		
12. CHART AREA <b>514</b>		14. LATITUDE/LONGITUDE or LORAN LATITUDE <b>42 30</b> LONGITUDE <b>70 30</b>				15. NO. of HAULS <b>2</b>	16. AVERAGE TOW/SOAK TIME <b>2 30</b> hrs mins	
13. AVG. DEPTH IN FATHOMS <b>40</b> f <sub>s</sub>		STATION-BEARING #1		STATION-BEARING #2				
17. SPECIES CODE NAME	18. KEPT POUNDS (Comm) COUNT (Rec)	19. DISCARDED POUNDS (Comm) COUNT (Rec)		20. DEALER PERMIT NO.	21. DEALER NAME		22. DATE SOLD (mm/dd/yy)	
<b>HERR</b>	<b>200,000</b>			<b>1234</b>	<b>SEAFOOD DEALER, INC.</b>		<b>MM/DD/YY</b>	
<b>Pair Trawling with vessel B, permit 234567</b>								

23. PORT and STATE LANDED <b>OFFLOADING PORT, STATE</b>		24. DATE LANDED (mm/dd/yy) <b>MM, DD, YY</b>		TIME LANDED <b>HH:MM</b>	
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I certify that the information provided on this form is true, complete and correct to the best of my knowledge, and made in good faith. Making a false statement on this form is punishable by law (18 U.S.C. 1001).

26. OPERATOR'S NAME (printed) and PERMIT NUMBER (if required) <b>John Doe, 123456789</b>		26. OPERATOR'S SIGNATURE <b>John Doe</b>		DATE <b>MM DD YY</b>	
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FISHING VESSEL TRIP REPORT

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DID NOT FISH DURING MONTH/YEAR \_\_\_\_/\_\_\_\_/\_\_\_\_

1. VESSEL NAME <i>Vessel B</i>		2. USCG. DOC. or STATE REG. NO. <i>UVWXYZ</i>		3. VESSEL PERMIT NUMBER <i>234567</i>	
4. DATE/TIME SAILED DATE (mm/dd/yy) <i>MM DD YY</i> TIME (24 hrs.) <i>HH:MM</i>		5. TRIP TYPE (CHECK ONE) <input checked="" type="checkbox"/> COMMERCIAL <input type="checkbox"/> PARTY <input type="checkbox"/> CHARTER		6. NO. of CREW <i>3</i>	
				7. NO. of ANGLERS	

FILL OUT A NEW PAGE FOR EACH CHART AREA OR GEAR OR MESH/RING SIZE FISHED

8. GEAR FISHED <i>PTM</i>		9. MESH/RING SIZE <i>1</i>		10. QUANTITY OF GEAR <i>1</i>		11. SIZE OF GEAR <i>400</i>			
12. CHART AREA <i>514</i>		14. LATITUDE/LONGITUDE or LORAN				15. NO. of HAULS <i>3</i>		16. AVERAGE TOW/SOAK TIME <i>1 30</i> hrs mins	
		LATITUDE <i>42 30</i>		LONGITUDE <i>70 30</i>					
13. AVG. DEPTH IN FATHOMS <i>40</i> f <sub>s</sub>		STATION-BEARING #1		STATION-BEARING #2					
17. SPECIES CODE NAME	18. KEPT POUNDS (Comm)	COUNT (Rec)	19. DISCARDED POUNDS (Comm)	COUNT (Rec)	20. DEALER PERMIT NO.	21. DEALER NAME		22. DATE SOLD (mm/dd/yy)	
<i>HERR</i>	<i>500,000</i>				<i>1234</i>	<i>SEAFOOD DEALER, INC.</i>		<i>MM/DD/YY</i>	
<i>Pair Trawling with Vessel A, permit 123456</i>									

23. PORT and STATE LANDED <i>OFFLOADING PORT, STATE</i>		24. DATE LANDED (mm/dd/yy) <i>MM, DD, YY</i>		TIME LANDED <i>HH:MM</i>	
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I certify that the information provided on this form is true, complete and correct to the best of my knowledge, and made in good faith. Making a false statement on this form is punishable by law (18 U.S.C. 1001).

25. OPERATOR'S NAME (printed) and PERMIT NUMBER (if required) <i>John Q Public, 987654321</i>		26. OPERATOR'S SIGNATURE <i>John Q Public</i>		DATE <i>MM DD YY</i>	
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**Example 2:** Vessel A and Vessel B catch 400,000 pounds of herring in 3 hauls using midwater pair trawl gear. The entire catch of 400,000 pounds is retained by Vessel A. Vessels should report the catch as follows:

**VTR Vessel A:**    **gear code:** PTM  
                         **hauls:** 3

**species:** HERR  
                         **kept:** 400,000 pounds  
                         **dealer:** #1234, Seafood Dealer, Inc.  
                         **discard:** Pounds, by species, from 3 hauls  
                         **notation:** Include a notation on the VTR form indicating  
   "Pair trawling with F/V (insert name of the vessel  
   and permit number)"

**VTR Vessel B:**    **gear code:** PTM  
                         **hauls:** Leave blank

**species:** NO CATCH  
                         **kept:** Leave blank  
                         **discard:** Leave blank  
                         **notation:** Include a notation on the VTR form indicating  
   "Pair trawling with F/V (insert name of the vessel  
   and permit number)"

**IVR Vessel A:**        **kept:** 400,000 pounds  
                         **discard:** Pounds of herring from 3 hauls

**IVR Vessel B:**        **kept:** No catch  
                         **discard:** No catch

FISHING VESSEL TRIP REPORT

11274718

DID NOT FISH DURING MONTH/YEAR \_\_\_\_/\_\_\_\_/\_\_\_\_

1. VESSEL NAME <b>Vessel A</b>		2. USCG. DOC. or STATE REG. NO. <b>ABCDEF</b>		3. VESSEL PERMIT NUMBER <b>123456</b>	
4. DATE/TIME SAILED DATE (mm/dd/yy) <b>MM DD YY</b> TIME (24 hrs.) <b>HH.MM</b>		5. TRIP TYPE (CHECK ONE) <input checked="" type="checkbox"/> COMMERCIAL <input type="checkbox"/> PARTY <input type="checkbox"/> CHARTER		6. NO. of CREW <b>4</b>	7. NO. of ANGLERS

FILL OUT A NEW PAGE FOR EACH CHART AREA OR GEAR OR MESH/RING SIZE FISHED

8. GEAR FISHED <b>PTM</b>		9. MESH/RING SIZE <b>1</b>		10. QUANTITY OF GEAR <b>1</b>		11. SIZE OF GEAR <b>400</b>		
12. CHART AREA <b>514</b>		14. LATITUDE/LONGITUDE or LORAN LATITUDE <b>42 30</b> LONGITUDE <b>70 30</b>				15. NO. of HAULS <b>3</b>	16. AVERAGE TOW/SOAK TIME <b>2 30</b> hrs mins	
13. AVG. DEPTH IN FATHOMS <b>40</b> f <sub>s</sub>		STATION-BEARING #1		STATION-BEARING #2				
17. SPECIES CODE NAME	18. KEPT POUNDS COUNT (Comm) (Rec)		19. DISCARDED POUNDS COUNT (Comm) (Rec)		20. DEALER PERMIT NO.	21. DEALER NAME	22. DATE SOLD (mm/dd/yy)	
<b>HERR</b>	<b>400.000</b>				<b>1234</b>	<b>SEAFOOD DEALER, INC.</b>	<b>MM/DD/YY</b>	
<b>Pair Trawling with Vessel B, permit 234567</b>								

23. PORT and STATE LANDED <b>OFFLOADING PORT, STATE</b>		24. DATE LANDED (mm/dd/yy) <b>MM, DD, YY</b>		TIME LANDED <b>HH.MM</b>	
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I certify that the information provided on this form is true, complete and correct to the best of my knowledge, and made in good faith. Making a false statement on this form is punishable by law (18 U.S.C. 1001).

25. OPERATOR'S NAME (printed) and PERMIT NUMBER (if required) <b>John Doe, 123456798</b>		26. OPERATOR'S SIGNATURE <b>John Doe</b>		DATE <b>MM DD YY</b>	
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## REPORTING INSTRUCTIONS FOR PURSE SEINE VESSELS

**Dealers:** When a dealer purchases fish from purse seine vessels, the dealer must report the poundage received from each individual vessel. The fact that the multiple vessels may purse seine together does not affect the information required in the electronic dealer report.

**Vessels:** Each vessel engaged in purse seining and who the catch from purse seining must submit IVR reports and VTRs. If a single vessel retains all the catch while engaged in purse seining then only that vessel must submit IVR and VTR reports. The vessel possessing the permit with the most restrictive possession limit determines the limit on the amount of herring that may be fished for or possessed by vessels using purse seines. For example, if a vessel issued the All Areas Limited Access Permit seines with a vessel issued a Limited Access Incidental Catch Permit, the pair may not fish for, possess or land more than 55,000 pounds of herring. Vessels should report the actual amount of catch landed by that vessel, regardless of the number of vessels involved in the purse seining venture. The example below explains how to complete these reports accurately.

**Example 1:** Vessel A and Vessel B catch 55,000 pounds of herring using purse seine gear. Vessel A accepted and landed the catch from 3 hauls totaling 30,000 pounds. Vessel B accepted and landed the catch from 2 hauls totaling 25,000 pounds. Vessels should report the catch as follows:

**VTR Vessel A:** gear code: PUR  
hauls: 3  
species: HERR  
kept: 30,000 pounds  
dealer: #1234, Seafood Dealer, Inc.  
discard: Pounds, by species, from 3 hauls  
notation: Include a notation on the VTR form indicating  
"Purse seining with F/V (insert name of the vessel  
and permit number)"

**VTR Vessel B:** gear code: PUR  
hauls: 2  
species: HERR  
kept: 25,000 pounds  
dealer: #1234, Seafood Dealer, Inc.  
discard: Pounds, by species, from 2 hauls  
notation: Include a notation on the VTR form indicating  
"Purse seining with F/V (insert name of the vessel  
and permit number)"

**IVR Vessel A:** kept: 30,000 pounds  
discard: Pounds of herring from 3 hauls

**IVR Vessel B:** kept: 25,000 pounds  
discard: Pounds of herring from 2 hauls

FISHING VESSEL TRIP REPORT

11274718

DID NOT FISH DURING MONTH/YEAR \_\_\_\_ / \_\_\_\_

1. VESSEL NAME Vessel A		2. USCG. DOC. or STATE REG. NO. ABCDEF		3. VESSEL PERMIT NUMBER 123456	
4. DATE/TIME SAILED DATE (mm/dd/yy) MM DD YY TIME (24 hrs.) HH MM		5. TRIP TYPE (CHECK ONE) <input checked="" type="checkbox"/> COMMERCIAL <input type="checkbox"/> PARTY <input type="checkbox"/> CHARTER		6. NO. of CREW 4	7. NO. of ANGLERS

FILL OUT A NEW PAGE FOR EACH CHART AREA OR GEAR OR MESH/RING SIZE FISHED

8. GEAR FISHED PUR		9. MESH/RING SIZE 14		10. QUANTITY OF GEAR 1		11. SIZE OF GEAR 400	
12. CHART AREA 514		14. LATITUDE/LONGITUDE or LORAN				15. NO. of HAULS 3	16. AVERAGE TOW/SOAK TIME
		LATITUDE 42 30		LONGITUDE 70 30			1
13. AVG. DEPTH IN FATHOMS 40		STATION-BEARING #1		STATION-BEARING #2		hrs	mins
17. SPECIES CODE NAME	18. KEPT POUNDS COUNT (Comm) (Rec)		19. DISCARDED POUNDS COUNT (Comm) (Rec)		20. DEALER PERMIT NO.	21. DEALER NAME	22. DATE SOLD (mm/dd/yy)
HERR	30,000				1234	SEAFOOD DEALER, INC.	MM/DD/YY
PURSE SEINING with Vessel B, permit 234567							

23. PORT and STATE LANDED OFFLOADING PORT, STATE		24. DATE LANDED (mm/dd/yy) MM, DD, YY		TIME LANDED HH.MM	
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I certify that the information provided on this form is true, complete and correct to the best of my knowledge, and made in good faith. Making a false statement on this form is punishable by law (18 U.S.C. 1001).

25. OPERATOR'S NAME (printed) and PERMIT NUMBER (if required) John Doe, 123456789		26. OPERATOR'S SIGNATURE John Doe		DATE MM DD YY	
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FISHING VESSEL TRIP REPORT

11274718

DID NOT FISH DURING MONTH/YEAR \_\_\_\_/\_\_\_\_

1. VESSEL NAME f/v catcher		2. USCG. DOC. or STATE REG. NO. ABCDEF		3. VESSEL PERMIT NUMBER 123456	
4. DATE/TIME SAILED DATE (mm/dd/yy) MM DD YY TIME (24 hrs.) HH MM		5. TRIP TYPE (CHECK ONE) <input checked="" type="checkbox"/> COMMERCIAL <input type="checkbox"/> PARTY <input type="checkbox"/> CHARTER		6. NO. of CREW 4	7. NO. of ANGLERS

FILL OUT A NEW PAGE FOR EACH CHART AREA OR GEAR OR MESH/RING SIZE FISHED

8. GEAR FISHED OTM		9. MESH/RING SIZE 1		10. QUANTITY OF GEAR 1		11. SIZE OF GEAR 400			
12. CHART AREA 514		14. LATITUDE/LONGITUDE or LORAN				15. NO. of HAULS 2		16. AVERAGE TOW/SOAK TIME 2 30 hrs mins	
		LATITUDE 42 30		LONGITUDE 70 30					
13. AVG. DEPTH IN FATHOMS 40 f		STATION-BEARING #1		STATION-BEARING #2					
17. SPECIES CODE NAME	18. KEPT POUNDS COUNT (Comm) (Rec)		19. DISCARDED POUNDS COUNT (Comm) (Rec)		20. DEALER PERMIT NO.	21. DEALER NAME		22. DATE SOLD (mm/dd/yy)	
HERR	200,000				1234	SEAFOOD DEALER, INC.		MM/DD/YY	
<p>Offloaded to Carrier vessels; F/V Carrier ABC, permit 345678 and F/V Carrier DEF, permit 567890</p>									

23. PORT and STATE LANDED OFFLOADING PORT, STATE		24. DATE LANDED (mm/dd/yy) MM, DD, YY		TIME LANDED HH:MM	
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Making a false statement on this form is punishable by law (18 U.S.C. 1001).

25. OPERATOR'S NAME (printed) and PERMIT NUMBER (if required) John Doe, 123456789		26. OPERATOR'S SIGNATURE John Doe		DATE MM DD YY	
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**Example 2:** F/V Catcher catches 200,000 pounds of herring in 2 hauls using midwater trawl gear and transfers 150,000 pounds to F/V Carrier 1. F/V Carrier 1 offloads the catch to Seafood Dealer, Inc. F/V Catcher also transfers 50,000 pounds to F/V Carrier 2 which offloads the catch to Fish Company, LLC. F/V Catcher should report the catch as follows:

**VTR F/V Catcher:**

**gear code:** OTM

**hauls:** 2

**species:** HERR

**kept:** 150,000 pounds

**dealer:** #1234, Seafood Dealer, Inc.

**species:** HERR

**kept:** 50,000 pounds

**dealer:** #4567, Fish Company, LLC

**discard:** Pounds, by species, from 2 hauls

**notation:** Include a notation on the VTR form indicating "Offloaded to carriers, F/V (insert name of the carrier vessel and permit number) and F/V (insert name of the carrier vessel and permit number)"

**IVR F/V Catcher:**

**kept:** 200,000 pounds

**discard:** Discard of herring from 2 hauls

FISHING VESSEL TRIP REPORT

11274718

DID NOT FISH DURING MONTH/YEAR \_\_\_\_/\_\_\_\_

1. VESSEL NAME <i>f/v Catcher</i>		2. USCG. DOC. or STATE REG. NO. <i>ABCDEF</i>		3. VESSEL PERMIT NUMBER <i>123456</i>	
4. DATE/TIME SAILED DATE (mm/dd/yy) <i>MM DD YY</i> TIME (24 hrs.) <i>HH MM</i>		5. TRIP TYPE (CHECK ONE) <input checked="" type="checkbox"/> COMMERCIAL <input type="checkbox"/> PARTY <input type="checkbox"/> CHARTER		6. NO. of CREW <i>4</i>	7. NO. of ANGLERS

FILL OUT A NEW PAGE FOR EACH CHART AREA OR GEAR OR MESH/RING SIZE FISHED

8. GEAR FISHED <i>OTM</i>		9. MESH/RING SIZE <i>1</i>		10. QUANTITY OF GEAR <i>1</i>		11. SIZE OF GEAR <i>400</i>			
12. CHART AREA <i>514</i>		14. LATITUDE/LONGITUDE or LORAN				15. NO. of HAULS <i>2</i>		16. AVERAGE TOW/SOAK TIME <i>2 30</i> hrs mins	
		LATITUDE <i>42 30</i>		LONGITUDE <i>70 30</i>					
13. AVG. DEPTH IN FATHOMS <i>40</i> f <sub>3</sub>		STATION-BEARING #1		STATION-BEARING #2					
17. SPECIES CODE NAME	18. KEPT POUNDS COUNT (Comm) (Rec)		19. DISCARDED POUNDS COUNT (Comm) (Rec)		20. DEALER PERMIT NO.	21. DEALER NAME		22. DATE SOLD (mm/dd/yy)	
<i>HERR</i>	<i>150,000</i>				<i>1234</i>	<i>SEAFOOD DEALER, INC.</i>		<i>MM/DD/YY</i>	
<i>HERR</i>	<i>50,000</i>				<i>4567</i>	<i>FISH COMPANY, LLC</i>		<i>MM/DD/YY</i>	
<i>Offloaded to carriers;</i>									
<i>F/V Carrier 1, permit 123456</i>									
<i>F/V Carrier 2, permit 234567</i>									

23. PORT and STATE LANDED <i>OFFLOADING PORT, STATE</i>		24. DATE LANDED (mm/dd/yy) <i>MM, DD, YY</i>		TIME LANDED <i>HH:MM</i>	
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I certify that the information provided on this form is true, complete and correct to the best of my knowledge, and made in good faith. Making a false statement on this form is punishable by law (18 U.S.C. 1001).

25. OPERATOR'S NAME (printed) and PERMIT NUMBER (if required) <i>John Doe, 123456798</i>		26. OPERATOR'S SIGNATURE <i>John Doe</i>		DATE <i>MM DD YY</i>	
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NMFS COPY

### Transferring Herring to a Vessel to be Used as Bait

A vessel issued a herring permit and a Transfer/Receive LOA may transfer or sell herring to another vessel that is not issued a herring permit, provided it is solely for the receiving vessel's own use as bait. The transferring vessel may not fish for, catch, transfer, or possess more herring than allowed by the vessel's permit category, and no more than 2,000 pounds per day may be transferred if the vessel is in, or the fish were harvested from, a management area closed to directed fishing.

**VTR:** All transactions to vessels receiving herring to be used as bait must be recorded on the VTR. The total number of transactions and total quantity of herring sold as bait must be recorded on a daily basis.

**IVR:** The vessel must report all herring catch, including catch transferred to other vessels to be used as bait, through the IVR.

**Example:** F/V Catcher makes 3 hauls and catches 250,000 pounds of herring. On day 1, the vessel makes 2 transfers at sea totaling 20,000 pounds to other vessels that will use the herring as bait. On day 2, the vessel makes 4 transfers at sea totaling 30,000 pounds to other vessels that will use the herring as bait. F/V Catcher should report catch as follows:

**VTR F/V Catcher:**

**gear code:** OTM  
**hauls:** 3  
**species:** HERR  
**kept:** 200,000 pounds  
**dealer:** #1234, Seafood Dealer, Inc.

**species:** HERR  
**kept:** 20,000 pounds  
**dealer:** 2 transfers  
**date sold:** Day 1 (actual date)

**species:** HERR  
**kept:** 30,000 pounds  
**dealer:** 4 transfers  
**date sold:** Day 2 (actual date)

**notation:** Include a notation on the VTR form indicating "Frequency of transfers per day (insert # for each MM/DD/YY)"

**IVR F/V Catcher:**

**kept:** 250,000 pounds  
**discard:** Discard of herring from 3 hauls

FISHING VESSEL TRIP REPORT

11274718

DID NOT FISH DURING MONTH/YEAR \_\_\_\_/\_\_\_\_

1. VESSEL NAME <i>f/v catcher</i>		2. USCG. DOC. or STATE REG. NO. <i>ABCDEF</i>		3. VESSEL PERMIT NUMBER <i>123456</i>	
4. DATE/TIME SAILED DATE (mm/dd/yy) <i>MM DD YY</i> TIME (24 hrs.) <i>HH:MM</i>		5. TRIP TYPE (CHECK ONE) <input checked="" type="checkbox"/> COMMERCIAL <input type="checkbox"/> PARTY <input type="checkbox"/> CHARTER		6. NO. of CREW <i>4</i>	7. NO. of ANGLERS

FILL OUT A NEW PAGE FOR EACH CHART AREA OR GEAR OR MESH/RING SIZE FISHED

8. GEAR FISHED <i>OTM</i>		9. MESH/RING SIZE <i>1</i>		10. QUANTITY OF GEAR <i>1</i>		11. SIZE OF GEAR <i>400</i>			
12. CHART AREA <i>514</i>		14. LATITUDE/LONGITUDE or LORAN				15. NO. of HAULS <i>3</i>		16. AVERAGE TOW/SOAK TIME <i>2 30</i> hrs mins	
		LATITUDE <i>42 30</i>		LONGITUDE <i>70 30</i>					
13. AVG. DEPTH IN FATHOMS <i>40</i> f <sub>3</sub>		STATION-BEARING #1		STATION-BEARING #2					
17. SPECIES CODE NAME	18. KEPT POUNDS (Comm) COUNT (Rec)		19. DISCARDED POUNDS (Comm) COUNT (Rec)		20. DEALER PERMIT NO.	21. DEALER NAME		22. DATE SOLD (mm/dd/yy)	
<i>HERR</i>	<i>200,000</i>				<i>1234</i>	<i>SEAFOOD DEALER, INC.</i>		<i>MM/DD/YY</i>	
<i>HERR</i>	<i>20,000</i>					<i>2 TRANSFERS FOR BAIT</i>		<i>MM/DD/YY</i>	
<i>HERR</i>	<i>30,000</i>					<i>4 TRANSFERS FOR BAIT</i>		<i>MM/DD/YY</i>	

23. PORT and STATE LANDED <i>OFFLOADING PORT, STATE</i>		24. DATE LANDED (mm/dd/yy) <i>MM, DD, YY</i>		TIME LANDED <i>HH:MM</i>	
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I certify that the information provided on this form is true, complete and correct to the best of my knowledge, and made in good faith. Making a false statement on this form is punishable by law (18 U.S.C. 1001).

25. OPERATOR'S NAME (printed) and PERMIT NUMBER (if required) <i>John Doe, 123456789</i>		26. OPERATOR'S SIGNATURE <i>John Doe</i>		DATE <i>MM DD YY</i>	
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### **Transferring Herring to Another Permitted Herring Vessel**

A vessel issued a herring permit and the Transfer/Receive LOA may transfer herring to another federally permitted herring vessel that will land and sell it, provided the receiving vessel is also issued a Transfer/Receive LOA. The transferring vessel may not fish for, catch, transfer, or possess more herring than allowed by the vessel permit category, and no more than 2,000 pounds per day may be transferred if the vessel is in, or the fish were harvested from, a management area closed to directed fishing.

*Example:* Vessel A makes 3 hauls and catches 200,000 pounds of herring. Vessel A then transfers 50,000 pounds to Vessel B. Vessel A should record the Federal permit number and vessel name of the vessel that received herring in the dealer number and name fields of the VTR. Vessel A should report catch as follows (a separate entry should be made showing the dealer name and number for the dealer that receives the portion of catch landed by Vessel A):

<b>VTR Vessel A:</b>	<b>gear code:</b> OTM
	<b>hauls:</b> 3
	<b>species:</b> HERR
	<b>kept:</b> 150,000 pounds
	<b>dealer:</b> #1234, Seafood Dealer, Inc.
	<b>species:</b> HERR
	<b>kept:</b> 50,000 pounds
	<b>dealer:</b> Vessel name and permit number
<b>IVR Vessel A:</b>	<b>kept:</b> 150,000 pounds
	<b>discard:</b> Discard of herring from 3 hauls

FISHING VESSEL TRIP REPORT

11274718

DID NOT FISH DURING MONTH/YEAR \_\_\_\_ / \_\_\_\_

1. VESSEL NAME <i>f/v Catcher</i>		2. USCG. DOC. or STATE REG. NO. <i>ABCDEF</i>		3. VESSEL PERMIT NUMBER <i>123456</i>	
4. DATE/TIME SAILED DATE (mm/dd/yy) <i>MM DD YY</i> TIME (24 hrs.) <i>HH.MM</i>		5. TRIP TYPE (CHECK ONE) <input checked="" type="checkbox"/> COMMERCIAL <input type="checkbox"/> PARTY <input type="checkbox"/> CHARTER		6. NO. of CREW <i>4</i>	7. NO. of ANGLERS

FILL OUT A NEW PAGE FOR EACH CHART AREA OR GEAR OR MESH/RING SIZE FISHED

8. GEAR FISHED <i>OTM</i>		9. MESH/RING SIZE <i>1</i>		10. QUANTITY OF GEAR <i>1</i>		11. SIZE OF GEAR <i>400</i>						
12. CHART AREA <i>514</i>		14. LATITUDE/LONGITUDE or LORAN				15. NO. of HAULS <i>3</i>		16. AVERAGE TOW/SOAK TIME <i>2 30</i> hrs mins				
		LATITUDE <i>42 30</i>		LONGITUDE <i>70 30</i>								
13. AVG. DEPTH IN FATHOMS <i>40 f<sub>s</sub></i>		STATION-BEARING #1		STATION-BEARING #2								
17. SPECIES CODE NAME	18. KEPT POUNDS (Comm)		COUNT (Rec)		19. DISCARDED POUNDS (Comm)		COUNT (Rec)		20. DEALER PERMIT NO.	21. DEALER NAME	22. DATE SOLD (mm/dd/yy)	
<i>HERR</i>	<i>150,000</i>								<i>1234</i>	<i>SEAFOOD DEALER, INC.</i>	<i>MM/DD/YY</i>	
<i>HERR</i>	<i>50,000</i>								<i>234567</i>	<i>f/v vessel B</i>	<i>MM/DD/YY</i>	

23. PORT and STATE LANDED <i>OFFLOADING PORT, STATE</i>		24. DATE LANDED (mm/dd/yy) <i>MM, DD, YY</i>		TIME LANDED <i>HH.MM</i>	

I certify that the information provided on this form is true, complete and correct to the best of my knowledge, and made in good faith. Making a false statement on this form is punishable by law (18 U.S.C. 1001).

25. OPERATOR'S NAME (printed) and PERMIT NUMBER (if required) <i>John Doe, 123465798</i>		26. OPERATOR'S SIGNATURE <i>John Doe</i>		DATE <i>MM DD YY</i>	
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NMFS COPY

**REPORTING INSTRUCTIONS FOR VESSELS  
THAT RECEIVE HERRING AT SEA**

**Receiving as a Carrier Vessel**

**Dealers:** Catch received from a carrier vessel must be reported as if it was landed by the catcher vessel(s). The dealer report must provide each catcher vessel name and Federal permit number, along with each catcher vessel's VTR serial number.

**Vessels:** Any vessel issued a herring permit may be issued a Carrier LOA. While issued the Carrier LOA, the vessel must operate exclusively as a herring carrier and is prohibited from having any fishing gear on board. Vessels issued a Carrier LOA may not have any species on board other than herring, with the exception of multispecies received from vessels issued an All Areas or Areas 2 and 3 Limited Access Permit. Carrier vessels must provide each catcher vessel's VTR serial number to each dealer purchasing the catch. The Carrier vessel VTR should not be provided to the dealer(s).

<b>VTR Carrier vessel:</b>	<b>gear code:</b>	CAR
	<b>chart area:</b>	Leave blank
	<b>lats/lons or lorans:</b>	Leave blank
	<b>hauls:</b>	Leave blank
	<b>tow time:</b>	Leave blank
	<b>species:</b>	HERR
	<b>kept:</b>	0 pounds
	<b>dealer:</b>	Dealer that receives fish
	<b>notation:</b>	Include a notation on the VTR form indicating "Carrying for F/V (insert name, permit number, and VTR serial number for each catcher vessel)

**IVR Carrier vessel:** There is no IVR requirement for carriers.

FISHING VESSEL TRIP REPORT

11274718

DID NOT FISH DURING MONTH/YEAR \_\_\_\_/\_\_\_\_

1. VESSEL NAME <i>f/v Carrier</i>		2. USCG. DOC. or STATE REG. NO. <i>DEFGHI</i>		3. VESSEL PERMIT NUMBER <i>456789</i>	
4. DATE/TIME SAILED DATE (mm/dd/yy) <i>MM DD YY</i> TIME (24 hrs.) <i>HH MM</i>		5. TRIP TYPE (CHECK ONE) <input checked="" type="checkbox"/> COMMERCIAL <input type="checkbox"/> PARTY <input type="checkbox"/> CHARTER		6. NO. of CREW	
				7. NO. of ANGLERS	

FILL OUT A NEW PAGE FOR EACH CHART AREA OR GEAR OR MESH/RING SIZE FISHED

8. GEAR FISHED <i>CAR</i>		9. MESH/RING SIZE		10. QUANTITY OF GEAR		11. SIZE OF GEAR			
12. CHART AREA		14. LATITUDE/LONGITUDE or LORAN				15. NO. of HAULS		16. AVERAGE TOW/SOAK TIME hrs mins	
		LATITUDE		LONGITUDE					
13. AVG. DEPTH IN FATHOMS f		STATION-BEARING #1		STATION-BEARING #2					
17. SPECIES CODE NAME	18. KEPT POUNDS COUNT (Comm) (Rec)		19. DISCARDED POUNDS COUNT (Comm) (Rec)		20. DEALER PERMIT NO.	21. DEALER NAME		22. DATE SOLD (mm/dd/yy)	
<i>HERR</i>	<i>0</i>				<i>1234</i>	<i>Seafood Dealer, Inc.</i>		<i>MM/DD/YY</i>	
<i>Carrying for f/v Catcher, permit</i>									
<i>123456, VTR Serial Number</i>									
<i>12345678</i>									

23. PORT and STATE LANDED <i>OFFLOADING PORT, STATE</i>		24. DATE LANDED (mm/dd/yy) <i>MM, DD, YY</i>		TIME LANDED <i>HH MM</i>	

I certify that the information provided on this form is true, complete and correct to the best of my knowledge, and made in good faith. Making a false statement on this form is punishable by law (18 U.S.C. 1001).

25. OPERATOR'S NAME (printed) and PERMIT NUMBER (if required) <i>John Q. Public, 987654321</i>		26. OPERATOR'S SIGNATURE <i>John Q Public</i>		DATE <i>MM DD YY</i>	
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### Receiving for Personal Use as Bait

A vessel that receives herring at-sea for its own use as bait is not required to be issued a Federal herring permit. The receiving vessel may not have purse seine, midwater trawl, pelagic gillnet, sink gillnet, or bottom trawl gear on board. A vessel issued any other Federal permit for any species must comply with VTR requirements and any other reporting requirements associated with those permits. Bait received for personal use is not to be recorded on the receiving vessel's VTR.

### Receiving a Transfer from Another Vessel

**Dealers:** A dealer must report the poundage received from the vessel that offloads the catch. The fact that a vessel received transferred fish does not affect or change the information requirements in the electronic dealer report.

**Vessels:** A vessel issued a herring permit and the Transfer/Receive LOA may receive a transfer of herring from another federally permitted herring vessel that is also issued the Transfer/Receive LOA. The receiving vessel may not fish for, catch, transfer, or possess more herring than allowed by the vessel permit category, and no more than 2,000 pounds per day may be transferred if the vessel is in, or the fish were harvested from, a management area closed to directed fishing.

**VTR:** A new VTR must be completed each time the vessel receives herring. If the receiving vessel (Vessel B) has received transfers from other vessels and/or engaged in its own fishing activity, separate VTRs need to be completed for each of these activities.

**IVR:** IVR reporting is required.

*Example:* Vessel A makes 3 hauls and catches 200,000 pounds of herring. Vessel A then transfers 50,000 pounds to Vessel B. Vessel B should report catch as follows:

**VTR Vessel B:**

- species:** HERR
- kept:** 50,000 pounds
- dealer:** #1234, Seafood Dealer, Inc.
- notation:** Include a notation on the VTR form indicating "Received transfer from F/V (insert name of the vessel and permit number)"

**IVR Vessel B:**

- kept:** 50,000 pounds
- discard:** No catch

FISHING VESSEL TRIP REPORT

11274718

DID NOT FISH DURING MONTH/YEAR \_\_\_\_ / \_\_\_\_

1. VESSEL NAME <i>Vessel B</i>		2. USCG. DOC. or STATE REG. NO. <i>UVWXYZ</i>		3. VESSEL PERMIT NUMBER <i>234567</i>	
4. DATE/TIME SAILED DATE (mm/dd/yy) <i>MM DD YY</i> TIME (24 hrs.) <i>HH MM</i>		5. TRIP TYPE (CHECK ONE) <input checked="" type="checkbox"/> COMMERCIAL <input type="checkbox"/> PARTY <input type="checkbox"/> CHARTER		6. NO. of CREW <i>3</i>	7. NO. of ANGLERS

FILL OUT A NEW PAGE FOR EACH CHART AREA OR GEAR OR MESH/RING SIZE FISHED

8. GEAR FISHED <i>OTM</i>		9. MESH/RING SIZE		10. QUANTITY OF GEAR		11. SIZE OF GEAR			
12. CHART AREA		14. LATITUDE/LONGITUDE or LORAN				15. NO. of HAULS		16. AVERAGE TOW/SOAK TIME hrs mins	
		LATITUDE		LONGITUDE					
13. AVG. DEPTH IN FATHOMS f <sub>s</sub>		STATION-BEARING #1		STATION-BEARING #2					
17. SPECIES CODE NAME	18. KEPT POUNDS COUNT (Comm) (Rec)		19. DISCARDED POUNDS COUNT (Comm) (Rec)		20. DEALER PERMIT NO.	21. DEALER NAME		22. DATE SOLD (mm/dd/yy)	
<i>HERR</i>	<i>50,000</i>				<i>1234</i>	<i>Seafood Dealer, Inc.</i>		<i>MM/DD/YY</i>	
<i>Received Transfer from f/v Vessel A, permit 123456</i>									

23. PORT and STATE LANDED <i>OFFLOADING PORT, STATE</i>		24. DATE LANDED (mm/dd/yy) <i>MM, DD, YY</i>		TIME LANDED <i>HH MM</i>	
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I certify that the information provided on this form is true, complete and correct to the best of my knowledge, and made in good faith. Making a false statement on this form is punishable by law (18 U.S.C. 1001).

25. OPERATOR'S NAME (printed) and PERMIT NUMBER (if required) <i>John Q Public, 987654321</i>		26. OPERATOR'S SIGNATURE <i>John Q. Public</i>		DATE <i>MM DD YY</i>	
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NMFS COPY

The National Marine Fisheries Service requires this information for the conservation and management of marine fishery resources in accordance with the Magnuson-Stevens Fishery Conservation and Management Act. The data reported will be used to develop, implement, and monitor fishery management strategies and for a variety of other uses. Submission is mandatory for those persons falling under the requirements of 50 CFR 648.7 (b). All data submitted will be handled as confidential material in accordance with NOAA regulations and Administrative Orders. Public reporting of burden for the survey is estimated to average 5 minutes for completion and submission of VTR reports, and 4 minutes per IVR response, including one minute to summarize the information and three minutes to submit it by phone. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to NMFS, 55 Great Republic Drive, Gloucester, MA 01930.

Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.

OMB No. 0648-0212  
Exp. 1-31-2010

